

01/17/02



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Patent  
105-674

JC978 U.S. PTO  
10/052031  
01/17/02

Transmitted herewith is the U.S. Patent Application of  
Inventors: Henry F. Taylor and Ohannes Eknayan  
For: Tunable Electrooptic Add-Drop Filter Apparatus and Method

The following checked items are enclosed:

- ☒ Stamped, Self Addressed Postcard to be Returned
- ☒ Charge Card Payment Form in the amount of \$379.00 (filing fee)
- ☒ Charge Card Payment Form in the amount of \$40.00 (Assignment Recordation Fee)
- ☒ Declaration and Power of Attorney
- 23 Pages of Specification Including Abstract
- 21 Total Number of Claims
- 3 Independent Claims
- 8 Sheets of Drawings
- ☒ Verified Statement Claiming Small Entity Status
- ☒ Non-Publication Request
- ☒ Assignment with Recordation Form Cover Sheet

Owner of the claimed invention is a non-profit organization and hereby asserts small entity status pursuant to 37 C.F.R. 1.27(c)(1).

If there is any additional fee due, please charge such additional fee to Deposit Account No. 19-1453 (Our File No. 105-674).

The filing fee was calculated as follows:

Basic Fee	\$370.00
Additional Fees:	
Total No. of Claims in	
Excess of 20 = 1 at \$9.00	\$ 9.00
Assignment	\$ 40.00
Total Fee	\$419.00

Respectfully submitted,  
SHAFFER & CULBERTSON, L.L.P.

Dated: 17 JAN 02

By:

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"Express Mail" Mailing label number TB908288389US

Date of Deposit: January 17, 2002

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PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor Henry F. Taylor

Title Tunable Electrooptic Add-Drop Filter Apparatus  
and Method

Atty Docket Number 105-674

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

17 Jan 02

Date



Signature

J. Nevin Shaffer, Jr., Attorney for Applicants

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**